### DEPARTMENT OF HEALTH & HUMAN SERVICES





Food and Drug Administration College Park, MD 20740

17 7 9 2 FEB 11 07 2004 11 11 11

Ms. Tracy Beckmann Administrative Assistant Earthlab, Inc. dba Wise Woman Herbals 185 N. Mill P.O. Box 279 Creswell, Oregon 97426

Dear Ms. Beckmann:

This is in response to your letters of January 9, 2004 to the Food and Drug Administration (FDA) pursuant to 21 U.S.C. 343(r)(6) (section 403(r)(6) of the Federal Food, Drug, and Cosmetic Act (the Act)).

Your letters state that the following statements will made for the following products:

Hawthorne Solid Extract

Gymnema capsules

Hypo G Blood sugar support

Hawthorne Tonic II

Hawthorne Tonic I

Tomotes balanced blood pressure..."

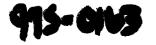
"Promotes...balanced blood pressure..."

"Promotes...balanced blood pressure..."

"Promotes...balanced blood pressure..."

"Promotes...balanced blood pressure..."

In the preamble to the January 6, 2000 final rule on structure/function claims (see 65 FR 1000 at 1018), FDA stated that claims about the maintenance of normal cholesterol levels did not necessarily constitute implied disease claims. We stated, however, that because "many people think of cholesterol solely in terms of the negative role of elevated cholesterol in heart disease," in order to avoid implying that the product prevents or treats heart disease, a cholesterol maintenance claim would have to clarify that the product is only for maintenance of cholesterol levels that are already within the normal range. The same principle applies to claims about the control of blood glucose levels and blood pressure; that is, a claim that does not establish that the claims are about blood glucose levels or blood pressure that is already within normal limits implies that the product is intended to treat elevated blood glucose (diabetes) or blood pressure (hypertension), which are diseases. Therefore, because the claims you are making for these products represent that the products are intended to affect blood glucose and blood pressure but do not also include a statement about them being intended to affect blood glucose and blood pressure that are already in the normal ranges, they are implied disease claims.



### Page 2 - Ms. Tracy Beckmann

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21 U.S.C. 343(r)(6) makes clear that a statement included in labeling under the authority of that section may not claim to diagnose, mitigate, treat, cure, or prevent a specific disease or class of diseases. The statements that you are making for these products suggest that they are intended to treat, prevent, or mitigate diseases. These claims do not meet the requirements of 21 U.S.C. 343(r)(6). These claims suggest that these products are intended for use as drugs within the meaning of 21 U.S.C. 321(g)(1)(B), and that they are subject to regulation under the drug provisions of the Act. If you intend to make claims of this nature, you should contact FDA's Center for Drug Evaluation and Research (CDER), Office of Compliance, HFD-310, Montrose Metro II, 11919 Rockville Pike, Rockville, Maryland 20855.

You also submitted a letter that states you intend to market a product named "Throat Mist" that is intended "...for promoting relief from dry throat." This product does not appear to meet the statutory definition of a dietary supplement contained in 21 U.S.C. 321(ff), and therefore, can not be marketed as a dietary supplement. We explain the basis for our opinion below.

The term "dietary supplement" is defined in 21 U.S.C. 321(ff). 21 U.S.C. 321(ff) provides that the term means a product (other than tobacco) intended to supplement the diet that bears or contains a vitamin, a mineral, an herb or other botanical, an amino acid, a dietary substance for use by man to supplement the diet by increasing the total dietary intake, or a concentrate, metabolite, constituent, extract, or combination of any of the above ingredients. 21 U.S.C. 321(ff) further states that dietary supplements are intended for ingestion in a form described in 21 U.S.C. 350(c)(1)(B)(i) or in compliance with 21 U.S.C. 350(c)(1)(B)(ii), are not represented as conventional food or as a sole item of a meal or the diet, and are labeled as a dietary supplement.

An article that is taken orally but that exerts its intended effect in the mouth or throat prior to it being swallowed and absorbed is not "intended for ingestion." As stated above, the definition of dietary supplement in 21 U.S.C. 321(ff) states that a dietary supplement is a product "intended for ingestion." The term "ingestion" has been addressed by the court in <u>United States v. Ten Cartons, Ener-B Nasal Gel</u>, 888 F. Supp. 381, 393-94 (E.D.N.Y.), aff'd, 72 F.3d 285 (2d Cir. 1995), which states:

The ordinary and plain meaning of the term "ingestion" means to take into the stomach and gastrointestinal tract by means of enteral administration. See Stedman's Medical Dictionary (4th Lawyer's Ed. 1976) (defining ingestion as the "introduction of food and drink into the stomach."); Webster's Third New International Dictionary (1976) (defining ingestion as "the taking of material (as food) into the digestive system.")...

The interpretation of the term "ingestion" to mean enteral administration into the stomach and gastrointestinal tract is also supported by the language of the statutory sections immediately preceding and following section 350(c)(1)(B)(ii).

### Page 3 - Ms. Tracy Beckmann

Section 350(c)(1)(B)(i) states that the vitamin must be intended for ingestion in tablet, capsule or liquid form. Each of these forms denotes a method of ingestion that involves swallowing into the stomach. Section 350(c)(2) states that a food is intended for ingestion in liquid form under section 350(c)(1)(B)(i) "only if it is formulated in a fluid carrier and is intended for ingestion in daily quantities measured in drops or similar small units of measure." This elaboration of "liquid form" also denotes ingestion by swallowing the fluid.

Therefore, because the term "ingestion" means introduced into the gastrointestinal tract, products that are intended to be taken in the mouth and affect a dry throat prior to being swallowed are not subject to regulation as dietary supplements because they are not "intended for ingestion." Moreover, because your product is not a dietary supplement and it appears to be an article (other than food) intended to affect the structure or any function of the body of man (i.e., provide relief from a dry throat), it appears that it is intended for use as a drug within the meaning of 21 U.S.C. 321(g)(1)(C), and that it is subject to regulation under the drug provisions of the Act. If you intend to make claims of this nature, you should contact FDA's Center for Drug Evaluation and Research at the address given above.

Please contact us if we may be of further assistance.

Sincerely yours,

Susan J. Walker, M.D.

Director

Division of Dietary Supplement Programs
Office of Nutritional Products, Labeling
and Dietary Supplements
Center for Food Safety
and Applied Nutrition

مراحا معرف

### Copies:

FDA, Center for Drug Evaluation and Research, Office of Compliance, HFD-300 FDA, Office of the Associate Commissioner for Regulatory Affairs, Office of Enforcement, HFC-200

FDA, Seattle District Office, Office of Compliance, HFR-PA240

# NOTIFICATION PURSUANT TO SECTION 6 OF DSHEA and 21 C.F.R./101.93

in this notification. Its business address is: 185 N Mill, 80 Box 279

pursuant to Section 6 of DSHEA and 21 C.F.R. /101.93. The dietary supplement

product on whose label or labeling the statements appear is Throat Mist

Creswell, OR 97426

being given is:

Date Signed: 1909

Doc. 116779

This notification is being filed on behalf of Wise Woman Herbals which is the

The text of each structure-function statement for which notification is now

الإلايا

EarthLab, Inc. dba

This notification is being made

[Title] Administrative Assistant

	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	con in Commission relief from dry throat.
	(Statement 1):A	staff favorite for promoting relief from dry throat.
	(Statement 2):	
	(Statement 3):	
		owing summary identifies the dietary ingredients(s) or a statement has been made:
·~	Statement <u>Number</u>	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
	1.Echinace 2. 3.	a angustitolia and purpurea (echinacea), propolis, E. angustitolia a purpurea glyci (echinacea)
		entifies the brand name of each supplement for which a statement his section only if the supplement(s) were not identified in
	Statement <u>Number</u>	Brand Name
	1. 2. 3.	
dba	Wise Woman Herbals Notification is complete	I certify that the information presented and contained in this and accurate, and that formation company his has tructure-function statement is truthful and not misleading.
	1 1	

JAN 2: 1004

I, Tracy Beckmann, am authorized to certify this Notification on behalf of Earth 26, I do Wise Woman Herbals. I certify that the information presented and contained in this Notification is complete and accurate, and that Earth 26 company with Month 2 has substantiation that each structure-function statement is truthful and not misleading.

Date Signed: 1-9-04

[Title] Administrative Assistant

Doc. 116779

# **NOTIFICATION PURSUANT TO** SECTION 6 OF DSHEA and 21 C.F.R./101.93

JAN 2 1 2004

in this notification. Its Creswell DR 974 pursuant to Section 6 of	EarthLab, Inc. dba  is being filed on behalf of Wise Woman Herbals which is the producer] of the product(s) which bear the statements identified business address is: 185 N Mill, PO Box 279  This notification is being made DSHEA and 21 C.F.R. 101.93. The dietary supplement labeling the statements appear is Hawthorne Tonic I.
A. The text of being given is:	each structure-function statement for which notification is now
(Statement 1): Proposes (Statement 2):	motes healthy cardiac and kidney function, balanced blood sure, normal circulation and fluid elimination.
(Statement 3):	
B. The follo supplement(s) for which a	wing summary identifies the dietary ingredients(s) or statement has been made:
Statement <u>Number</u>	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
1 Cratage	s ano. (hawthorn). Taraxacum officinale (dandelion leaf),
2. 3.	Spp. (hawthorn), Taraxacum officinale (dandelion leaf), Selenicereus grandiflorus, (cactus/night-blooming cereus
C. The following ider	s section only if the supplement(s) were not identified in
Statement <u>Number</u>	Brand Name
1. 2. 3.	
	nann, am authorized to certify this Notification on behalf of Earthlab, I

1 Notification is complete and accurate, and that English [company] is the has substantiation that each structure-function statement is truthful and not misleading.

Date Signed: 1-9-04

By: NACAN BUNMANN [Name] Tracy Beckmann [Title] Administrative Assistant

Doc. 116779

# NOTIFICATION PURSUANT TO SECTION 6 OF DSHEA and 21 C.F.R./101.93

	EarthLab, Inc. dba JAN 21 2004
This notification	is being filed on behalf of Wise Woman Herbals which is the
producer [labele	r, producer] of the product(s) which bear the statements identified ts business address is: 185 N Mill, 90 Box 279,
creswell of 97	426 This notification is being made
pursuant to Section 6	of DSHEA and 21 C.F.R. /101.93. The dietary supplement
product on whose label	or labeling the statements appear is Hawthorne TonicIT
being given is:	of each structure-function statement for which notification is now
(Statement 1): P	romotes healthy cardiac muscle normal heart func alanced blood pressure and normal circulation.
(Statement 2):	ordiner but press
(Statement 3):	
B. The fol	lowing summary identifies the dietary ingredients(s) or
	a a statement has been made:
supplement(s) for which  Statement  Number	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
Statement Number  1. Crataeg 2.	a statement has been made:  Identity of Dietary Ingredient(s) or
supplement(s) for which  Statement  Number  1. Crataea	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
Statement Number  1. Crataeg 2. 3.  C. The following is	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
Statement Number  1. Crataeg 2. 3.  C. The following is made: (Complete Paragraph B.)  Statement	Identity of Dietary Ingredient(s) or  Supplement that is the Subject of the Statement  us spp. (hawthern), Tilia vulgaris (linden), Ginkgo biloba (  lentifies the brand name of each supplement for which a statement this section only if the supplement(s) were not identified in
Statement Number  1. Crataeg 2. 3.  C. The following is made: (Complete Paragraph B.)	Identity of Dietary Ingredient(s) or  Supplement that is the Subject of the Statement  us spp. (hawthern), Tilia vulgaris (linden), Ginkgo biloba (  lentifies the brand name of each supplement for which a statement
Statement Number  1. Crataeg 2. 3.  C. The following is made: (Complete Paragraph B.)  Statement	Identity of Dietary Ingredient(s) or  Supplement that is the Subject of the Statement  us spp. (hawthern), Tilia vulgaris (linden), Ginkgo biloba (  lentifies the brand name of each supplement for which a statement this section only if the supplement(s) were not identified in
Statement Number  1. Crataeg 2. 3.  C. The following is made: (Complete Paragraph B.)  Statement Number  1. 2.	Identity of Dietary Ingredient(s) or  Supplement that is the Subject of the Statement  us spp. (hawthern), Tilia vulgaris (linden), Ginkgo biloba (  lentifies the brand name of each supplement for which a statement this section only if the supplement(s) were not identified in
Statement Number  1. Crataeg 2. 3.  C. The following is made: (Complete Paragraph B.)  Statement Number  1.	Identity of Dietary Ingredient(s) or  Supplement that is the Subject of the Statement  us spp. (hawthern), Tilia vulgaris (linden), Ginkgo biloba (  lentifies the brand name of each supplement for which a statement this section only if the supplement(s) were not identified in

I, Tracy Beckmann, am authorized to certify this Notification on behalf of Earthlab doa Wise Woman Herbals I certify that the information presented and contained in this Notification is complete and accurate, and that Earthlab [company] in the has substantiation that each structure-function statement is truthful and not misleading.

Date Signed: 1-9-04

By: JACLY Beckmann
[Name] Tracy Beckmann
[Title] Administrative Assistant

Doc. 116779

# NOTIFICATION PURSUANT TO SECTION 6 OF DSHEA and 21 C.F.R./101.93

producer slabeler	is being filed on behalf of Wise Woman Herbals which Aid the 2004 producer] of the product(s) which bear the statements identified business address is: 185 N Mill, PO Box 279.  This notification is being made
pursuant to Section 6 o product on whose label o	of DSHEA and 21 C.F.R. 101.93. The dietary supplement relabeling the statements appear is Hypo G Blood Sugar Support.
heing given is:	pports normal blood sugar metabolism.
(Statement 2):	
(Statement 3):	
	owing summary identifies the dietary ingredients(s) or a statement has been made:
Statement <u>Number</u>	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
1.Eleuther 2.	ococcus senticosus (eleuthero/siberian ginseng), Glycyrrhiza glal (licorice), Avena Sativa (oat)
3.	
	entifies the brand name of each supplement for which a statement his section only if the supplement(s) were not identified in
Statement <u>Number</u>	Brand Name
1. 2. 3.	
Wise Woman Herbals	I certify that the information presented and contained in this and accurate, and that \( \frac{\text{Earthlab}}{\frac{1}{2}} \) [company] \( \frac{\text{Value}}{\text{Value}} \) has

substantiation that each structure-function statement is truthful and not misleading.

Date Signed: 1-9-04

By. 1 Many Beckmann
[Name] Tracy Beckmann
[Title] Administrative Assistant

Doc. 116779

## **NOTIFICATION PURSUANT TO** SECTION 6 OF DSHEA and 21 C.F.R./101.93

o Alba	۵	1.4.4

This notification is being filed on behalf of Wise Woman Herbals which is the producer [labeler, producer] of the product(s) which bear the statements identified in this notification. Its business address is: 185 N Mill, PO Box 279.  Creswell, Or 97426
A. The text of each structure-function statement for which notification is now being given is:
(Statement 1): Supports normal blood sugar metabolism.
(Statement 2):
(Statement 3):
B. The following summary identifies the dietary ingredients(s) or supplement(s) for which a statement has been made:
Statement Identity of Dietary Ingredient(s) or  Number Supplement that is the Subject of the Statement
1. Gymnema sylvestre (gymnema) 2. 3.
<b>3.</b>
C. The following identifies the brand name of each supplement for which a statement is made: (Complete this section only if the supplement(s) were not identified in Paragraph B.)
C. The following identifies the brand name of each supplement for which a statement is made: (Complete this section only if the supplement(s) were not identified in
C. The following identifies the brand name of each supplement for which a statement is made: (Complete this section only if the supplement(s) were not identified in Paragraph B.)  Statement

Earthlab, I Aba Wise Woman Herbals I certify that the information presented and contained in this Notification is complete and accurate, and that \*\* [company | with the last the information presented and contained in this notification is complete and accurate, and that \*\* [company | with the information presented and contained in this notification is complete and accurate, and that \*\* [company | with the information presented and contained in this notification is complete and accurate, and that \*\* [company | with the information presented and contained in this information presented and contained in this information presented and contained in this information is complete and accurate, and that \*\* [company | with the information presented and contained in this information is complete and accurate, and that \*\* [company | with the information presented and contained in this information is complete and accurate, and that \*\* [company | with the information presented and contained in the information presented in the i substantiation that each structure-function statement is truthful and not misleading.

Date Signed: 19-04

By: Many Beckmann
[Title] Administrative Assistant

Doc. 116779

# NOTIFICATION PURSUANT TO SECTION 6 OF DSHEA and 21 C.F.R./101.93

	Date Signed: 1-9-04	By: \ \ACKA Beckmann [Name] Tracy Beckmann [Title] Administrative Assistant
dba 1	W <u>isc Woman Herbal</u> s  Notification is complete	and accurate, and that [company] has ructure-function statement is truthful and not misleading.
	2. 3. I Tracy Becky	Mann, am authorized to certify this Notification on behalf of Earthlab, I
	Statement <u>Number</u>	Brand Name
	is made: (Complete the Paragraph B.)	ntifies the brand name of each supplement for which a statement is section only if the supplement(s) were not identified in
	1. Crataeg 2. 3.	us spp. (hawthorn)
uèc.	Statement <u>Number</u>	Identity of Dietary Ingredient(s) or Supplement that is the Subject of the Statement
		owing summary identifies the dietary ingredients(s) or a statement has been made:
	(Statement 3):	
	(Statement 2):	
	(Statement 1): Pro	motes balanced blood pressure and healthy Lardiac function
	A. The text of being given is:	f each structure-function statement for which notification is now
	pursuant to Section 6 of	f DSHEA and 21 C.F.R. /101.93. The dietary supplement labeling the statements appear is Haw thorne Solid Extract
	in this notification. Its Creswell, OR 974	business address is: 185 N Mill, PO Box 279
	Productor Mahalar	producer] of the product(s) which bear the statements identified
	This notification	is being filed on behalf of Wise Woman Herbals which is the

Doc. 116779